



01-07-04

Docket No. 7390/AKT/DISPLAY/RKK

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Inventor(s): Shinichi Kurita, Emanuel Beer, Hung T. Nguyen and Wendell T. Blonigan  
Title: TRANSFER CHAMBER FOR VACUUM PROCESSING SYSTEM  
Serial No.: 10/601,185  
Filed: June 20, 2003

Transmitted herewith is:

- ☒ Information Disclosure Statement  
☒ PTO Form 1449 with five cited references  
☒ Return Postcards

## FEE CALCULATION

Fee Items	Claims Filed	Included With Basic Fee	Extra Claims	Fee Rate	Total
Total Claims	N/A	- 20 =	-0-	X \$18.00	\$0.00
Independent Claims	N/A	- 3 =	-0-	X \$84.00	\$0.00
Basic Filing Fee				\$740.00	\$0.00
TOTAL FEES					\$0.00

— The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.

XX The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.

XX Please address all future correspondence to:  
**PATENT COUNSEL  
APPLIED MATERIALS, INC.  
Legal Affairs Department  
P.O.BOX 450A  
Santa Clara, CA. 95052**

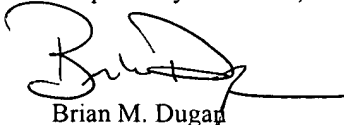
I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Express Mail Receipt No. EL975549745US

Date of Deposit: January 6, 2004

Signature: 

Respectfully submitted,

  
Brian M. Dugan  
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Express Mail Label No. EL975549745US

PATENTS  
7390/AKT/DISPLAY/RKK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Shinichi Kurita, Emanuel Beer, Hung T. Nguyen  
and Wendell T. Blonigan

Serial No. : 10/601,185

Filed : June 20, 2003

For : TRANSFER CHAMBER FOR VACUUM PROCESSING SYSTEM

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicants wish to call the attention of the Examiner to the  
following references:

U.S. Patent No. 4,455,177, Filippov et al.

U.S. Patent No. 4,632,624, Mirkovich et al.

U.S. Patent No. 4,790,750, Bourel et al.

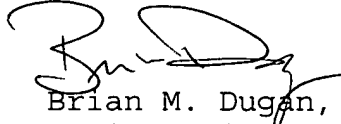
Foreign Art Reference No. EP 0 928 014 A2 (EPO)

Foreign Art Reference No. EP 1 065 701 A2 (EPO)

These references are also listed on the accompanying  
Information Disclosure Statement (Form PTO-1449) and copies are  
enclosed.

Consideration of the foregoing in relation to this  
patent application is respectfully requested.

Respectfully Submitted,

A handwritten signature in dark ink, appearing to read 'Brian M. Dugan', is written over the typed name.

Brian M. Dugan, Esq.  
Registration No. 41,720  
Dugan & Dugan, PC  
Attorneys for Applicants  
(914)332-9081

Dated: January 6, 2004  
Tarrytown, New York

U.S. Department of Commerce, Patent and Trademark Office

Docket No.:

7390/AKT/DISPLAY/RKK

Serial No.:

10/601,185

## LIST OF RELEVANT ART CITED BY APPLICANT

(Use several sheets if necessary)

Applicant(s):

Shinichi Kurita et al.

Filing Date:

06/20/03

Group:

## U.S. Patent Documents

*Examiner Initial		Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate
	AA	4,455,177	06/19/84	Filippov et al.			
	AB	4,632,624	12/30/86	Mirkovich et al.			
	AC	4,790,750	12/13/98	Bourel et al.			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

## Foreign Patent Documents

## Translation

		Document Number	Date	Country	Class	Subclass	Yes	No
	AL	EP 0 928 014 A2	07/07/99	EPO				
	AM	EP 1 065 701 A2	01/03/01	EPO				
	AN							
	AO							
	AP							

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	
	AS	
	AT	

Examiner

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.